

PATENT

Case Docket No. LOMAU.138A

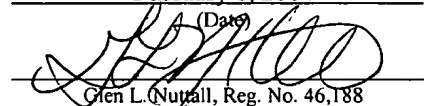
Date: February 6, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Zhu, et al.  
Appl. No. : 09/921,158  
Filed : August 1, 2001  
For : METHOD AND APPARATUS  
FOR CLOSING VASCULAR  
PUNCTURE USING  
HEMOSTATIC MATERIAL  
Examiner : Paul A. Roberts  
Group Art Unit : 3731

I hereby certify that this correspondence and all  
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Patents, P.O. Box 1450, Alexandria, VA 22313-  
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February 6, 2004

  
Glen L. Nuttall, Reg. No. 46,188

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2-18  
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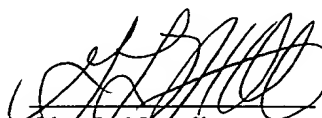
TRANSMITTAL LETTER

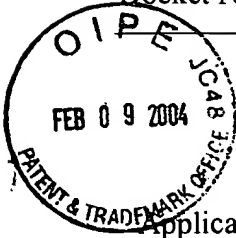
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with eighteen (18) references.
- (X) A check in the amount of \$180 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Glen L. Nuttall  
Registration No. 46,188  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404



## INFORMATION DISCLOSURE STATEMENT

Applicant : Yong Hua Zhu, et al.  
App. No. : 09/921,158  
Filed : August 1, 2001  
For : METHOD AND APPARATUS FOR  
CLOSING VASCULAR PUNCTURE  
USING HEMOSTATIC MATERIAL  
Examiner : Paul A. Roberts  
Group Art Unit : 3731

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing eighteen (18) references that are also enclosed.

This Information Disclosure Statement is being filed after the mailing date of a final action under 37 C.F.R. § 1.113 or after the mailing date of a Notice of Allowance under § 1.311. This Statement is accompanied by the fee set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410. A certification under 37 C.F.R. § 1.97(e) is set forth below.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,  
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2/6/04

By: [Signature]

Glen L. Nuttall

Registration No. 46,188

Attorney of Record

Customer No. 20,995

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
LOMAU.138AAPPLICATION NO.  
09/921,158INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Zhu, et al.FILING DATE  
August 1, 2001GROUP  
3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	6,197,042	03/06/01	Ginn, et al.			
	2	6,277,140	08/21/01	Ginn, et al.			
	3	6,391,048	05/21/02	Ginn, et al.			
	4	6,461,364	10/08/02	Ginn, et al.			
	5	6,623,510	09/23/03	Carley, et al.			
	6	6,626,918	09/30/03	Ginn, et al.			
	7	6,632,238	10/14/03	Ginn, et al.			
	8	US 2002/0002386 A1	01/03/02	Ginn, et al.			
	9	US 2002/0072768 A1	06/13/02	Ginn			
	10	US 2002/0077656 A1	06/20/02	Ginn, et al.			
	11	US 2002/0077657 A1	06/20/02	Ginn, et al.			
	12	US 2002/0147479 A1	10/10/02	Aldrich			
	13	US 2003/0023267 A1	01/30/03	Ginn			
	14	US 2003/0045893 A1	03/06/03	Ginn			
	15	US 2003/0050665 A1	03/13/03	Ginn			
	16	US 2003/0078598 A1	04/24/03	Ginn, et al.			
	17	US 2003/0158577 A1	08/21/03	Ginn, et al.			
	18	US 2003/0158578 A1	08/21/03	Pantages, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	